

Page 1 of 2

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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 10/807,405 03/24/2004 Hiroyuki Umezawa 492322017000

25227 MORRISON & FOERSTER LLP 1650 TYSONS BOULEVARD SUITE 300 CONFIRMATION NO. 3863
FORMALITIES LETTER
OC000000012865577

Date Mailed: 06/03/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

07/28/2004 WARDELRI 00000128 031952 10807405

MCLEAN, VA 22102

01 FC:1001 770.00 DA 02 FC:1051 130.00 DA 03 FC:1201 258.00 DA

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04 FC:1202 540.00 DA 05 FC:1203 290.00 DA Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Additional claim fees of \$1088 as a non-small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1988 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Totài additional claim fee(s) for this application is \$1088

- \$258 for 3 independent claims over 3.
- \$540 for 30 total claims over 20.
- \$290 for multiple dependent claim surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

JUL 2 6 2004

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/807,405 Filing Date March 24, 2004 First Named Inventor Hiroyuki UMEZAWA 1 Art Unit 1724 **Examiner Name** Not Yet Assigned Attorney Docket Number 492322017000

ENCLOSURES (Check all that apply)							
X Fee Transmittal Form		X Assignment	After Allowance communication to Technology Center (TC)				
Fee	Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
Amendment/Reply		Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After	Final	Petition to Convert to a Provisional Application	Proprietary Information				
Affida	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter				
Extension of Time Request		Terminal Disclaimer	X Other Enclosure(s) (please Identify below): Copy of Notice to File Missing Parts Declaration and Power of Attorney				
Express Abandonment Request		Request for Refund					
Information Disclosure Statement		CD, Number of CD(s)					
X Certified Copy of Priority Document(s)		_					
Response to Missing Parts/ Incomplete Application		Remarks					
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNATI	URE OF APPLICANT, ATTORNEY, OF	RAGENT				
Firm or Individual name	MORRISON & FOERSTER LLP Barry E. Bretschneider - 28,055						
Signature	Il Lutin	<u></u>					
Date	J uly 26, 2004						

PTO/SB/17 (10-03) Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. JUL 2 6 2004 Complete if Known TRANSMITTAL 10/807,405 Application Number March 24, 2004 Filing Date for FY 2004 Hiroyuki UMEZAWA First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 1724 Art Unit 492322017000 **TOTAL AMOUNT OF PAYMENT** Attorney Docket No. 2,028.00 FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) Credit Money 3. ADDITIONAL FEES Check Other None x Deposit Account: Large Entity Small Entity Denosit 03-1952 Fee Account Fee Description Code (\$) Code (\$) Fee Paid Numbe Deposit 130.00 1051 130 2051 65 Surcharge - late filing fee or oath Morrison & Foerster LLP Account Surcharge - late provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) sheet. X Credit any overpayments Charge fee(s) indicated below 1053 130 1053 130 Non-English specification Charge any additional fee(s) or any underpayment of fee(s) 1812 2.520 1812 2.520 For filing a request for ex parte reexamination Requesting publication of SIR prior to 1804 9201 1804 Charge fee(s) indicated below, except for the filing fee Examiner action Requesting publication of SIR after to the above-identified deposit account. 1805 1,840 1805 Examiner action Extension for reply within first month **FEE CALCULATION** 2251 1251 110 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month Extension for reply within third month Large Entity **Small Entity** 1253 950 2253 475 Fee Fee Fee Fee Description Fee Paid 1254 1,480 2254 Extension for reply within fourth month Code (\$) Code (\$) 2001 385 Utility filing fee 770.00 1255 2,010 2255 1,005 Extension for reply within fifth month 1001 770 2002 170 1401 330 2401 1002 340 Design filing fee 165 Notice of Appeal 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 Reissue filing fee 1403 290 2403 Request for oral hearing 2005 Provisional filing fee 1005 80 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 770.00 1453 1,330 2453 665 Petition to revive - unintentional 1501 1,330 2501 665 Utility issue fee (or reissue) 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from 1502 480 2502 240 Design issue fee Fee Paid Claims below **Total Claims** 50 -20** = 30 18.00 540.00 1503 640 2503 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner 6 -3** = 3 86.00 258.00 Claims Processing fee under 37 CFR 1.17(g) 1807 50 1807 50 Multiple Dependent 290.00 290.00 Submission of Information Disclosure Stmt 1806 180 1806 180 Large Entity Small Entity Recording each patent assignment per Fee Fee 40 8021 40.00 Fee Description 8021 40 Code Code (\$) property (times number of properties) (\$) Filing a submission after final rejection 1202 18 2202 9 Claims in excess of 20 1809 770 2809 (37 CFR 1.129(a)) 1201 86 2201 43 Independent claims in excess of 3 For each additional invention to be 1810 770 2810 385 1203 290 2203 145 Multiple dependent claim, if not paid examined (37CFR 1.129(b)) 1204 86 2204 Reissue independent claims 43 1801 770 2801 385 Request for Continued Examination (RCE) over original patent Request for expedited examination 1802 1802 900 2205 Reissue claims in excess of 20 of a design application 1205 18 and over original patent Other fee (specify)

SUBMITTED BY	(Complete	(if applicable))		
Name (Print/Type) Barry E Pretschineider	Registration No. (Attorney/Agent)	28,055	Telephone	(703) 760-7743
Signature Marketine			Date	July 26, 2004

*Reduced by Basic Filing Fee Paid

1.088.00

170.00

SUBTOTAL (3) (\$)

SUBTOTAL (2) (\$)

**or number previously paid, if greater, For Reissues, see above